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Axiomatic semantics describe the meaning/effect of programs through logic and reasoning about their construction and constraints, allowing proofs.

Thanks to

- Robert Floyd (1967)
- C.A.R. Hoare (1969)
- Edsger Dijkstra (1978)

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• Robert Floyd (1967)

graph algorithms (SSSP), parsing, language design

• C.A.R. Hoare (1969)

language design, quicksort, communicating sequential processes

• Edsger Dijkstra (1978)

structured programming, semaphors, graph algorithms (shortest path)

Sir Charles Anthony Richard Hoare (C.A.R. Hoare)



"There are two ways of constructing a software design: One way is to make it so simple that there are obviously no deficiencies, and the other way is to make it so complicated that there are no obvious deficiencies."

An Aside

Brian Kernighan



"Debugging is twice as hard as writing the code in the first place. Therefore, if you write the code as cleverly as possible, you are, by definition, not smart enough to debug it."

Sir Charles Anthony Richard Hoare (C.A.R. Hoare)

"Hoare semantics" (aka "Hoare logic") provide the basis for a **theory** of the **partial correctness** of programs.

With this, we can develop formal methods of program verification.

To do so, we'll need to cover the three fundamental requirements that a programming language must support:

- **Sequence** e.g., assignments, expressions, compound statements
- □ Alternation e.g., if then, case, BNE
- **Repetition** e.g., while, repeat, for, recursion

What is a Theory?

A theory is a framework for proving **properties** about a domain.

For us, that domain is computer programs.

Such properties are called **theorems**, and theorems have proofs.

Components of a theory:

- Grammar defines well-formed formulae (BNF is one example.)
- Axioms formulae asserted to be true
- Inference Rules ways to prove new theorems from previously-proved ones.

Inference Rules

An inference rule is written

$$\frac{f_1, f_2, \dots f_n}{f_0}$$

It expresses that **if** $f_1, f_2, ..., f_n$ are theorems — that is, they are proven well-formed formulae (WFF) — **then** we can infer that f_0 is another theorem.

That's nice, but how do we know? How can we actually prove things?

Let's look at famous inference rule: Modus Ponens.

Modus Ponens

$$p, p \Rightarrow q$$
$$q$$

Modus Ponens ("the mode that affirms") can be read: **if**

we have *p* (meaning, *p* is true) **and** *p* implies *q*

then

we can infer that *q* is true. **end if**

The implication/conditional operator (\Rightarrow) is like a contract: if *p* then *q*.

Inference Rule vs. Propositional Connective

Modus Ponens

$$\frac{p, \ p \Rightarrow q}{q} \quad \longleftarrow \quad \text{Inference Rule}$$

Modus Ponens ("the mode that affirms") can be read: **if**

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then

we can infer that *q* is true. **end if**

Propositional Connective

The implication/conditional operator (\Rightarrow) is like a contract: if *p* then *q*.

Let's review this in Propositional logic.

Truth Tables

Propositional logic has only false and true, no variables. It also has logical operators like and, or, and not. (propositional connectors)

Truth Tables

pqp \ q000010100111

Propositional logic has only false and true, no variables. It also has logical operators like **and**, or, and not.

Truth Tables

р	q	p ∧ q	р v q	
0	0	0	0	
0	1	0	1	
1	0	0	1	
1	1	1	1	

Propositional logic has only false and true, no variables. It also has logical operators like and, **or**, and not.

Truth Tables



Propositional logic has only false and true, no variables. It also has logical operators like and, or, and **not**.

Do we need more? (Do we even need all of these?)

Truth Tables



Propositional logic has only false and true, no variables. It also has logical operators like and, or, and not.

Do we need more? No. (Do we even need all of these? No.)

 $p\mathbf{v}q = \neg (\neg p\mathbf{v}\neg q)$

Truth Tables

d |bvd |b∧d | →b | b⇒d $\left(\right)$ 1 $\left(\right)$ $\left(\right)$ 0 1 0 1 0 1 0 1 1 0 0 1 1 1 0

Propositional logic has only false and true, no variables. It also has logical operators like and, or, and not.

Truth Tables



These are vacuously true because *p* is false and false can imply anything because it's an invalid premise.

Also, we take "if p then q" to be false **only** when p is true and q is false.

Propositional logic has only false and true, no variables. It also has logical operators like and, or, and not.

Truth Tables



This is false because p is true and q is false, and "true implies false" is false.

Propositional logic has only false and true, no variables. It also has logical operators like and, or, and not.

Truth Tables



This is true because p is true and q is true, and "true implies true" is true.

Propositional logic has only false and true, no variables. It also has logical operators like and, or, and not.

Truth Tables

р	q	p ∧ q	p v q	¬p	p⇒q	¬p v q
0	0	0	0	1	1	
0	1	0	1	1	1	
1	0	0	1	0	0	
1	1	1	1	0	1	

Propositional logic has only false and true, no variables. It also has logical operators like and, or, and not.

Implication is like a contract: "if *p* then *q*" or " $p \Rightarrow q$ ". Implication can also be written as $\neg p \lor q$.



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Truth Tables

$d |bvd| bvd | du | b \Rightarrow d | du | bvd | bvd \Rightarrow (b \Rightarrow d)$ $\left(\right)$ 1 1 0 $\left(\right)$ $\left(\right)$ 1 | 1 | 1 | 1 0 1 1 1 0 0 1 0 0 0 1 0 1 1 1 1 0

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Truth Tables



Truth Tables

р	q	p v d	pVq	¬р	p⇒q	¬p v q	p v d⇒(b⇒d)	
0	0	0	0	1	1	1	1	What's the opposite
0	1	0	1	1	1	1	1	of a tautology, where
1	0	0	1	0	0	0	1	the statement is
1	1	1	1	0	1	1	1	always false?

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0	0	0	0	1	1	1	1	What's the opposite
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								A contradiction.

Propositional logic has only false and true, no variables. It also has logical operators like and, or, and not.

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Truth Tables

A contradiction



Propositional logic has only false and true, no It also has logical operators like and, or, and n Contradictions cannot exist.

Implication is like a contract: "if *p* then *q*" or " $p \Rightarrow q$ ". Implication can also be written as $\neg p \lor q$.

Back to that Famous Inference Rule

Propositional Logic for Modus Ponens



Modus Ponens

$$p, p \Rightarrow q$$
$$q$$

can be written "if *p* and $p \Rightarrow q$ then *q*", which can be written

$$(p \land (p \Rightarrow q)) \Rightarrow q$$

Propositional Logic for Modus Ponens



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$$\frac{p, \ p \Rightarrow q}{q}$$

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Propositional Logic for Modus Ponens



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Propositional Logic for Modus Ponens



Inference Rules for Axiomatic Semantics

With Modus Ponens proved and used as the basis for inference rules, we need to move from Propositional logic to Predicate logic.

The complexity of proving programs correct cannot be handled with truth tables because we need to accommodate ideas like *any*, *all*, or *some*. Also, we need variables and functions. This leads us to . . .

First Order Logic

- variables
- domains
- named constants
- relations (>, <, etc.)
- functions (math operations)
- logical operators
- quantifiers (for-all " \forall " and there-exists " \exists ")

Now we can reason about program correctness.

We will define dynamic semantics on state transitions. A state is a mapping of variables to their values. Executing a program can be viewed as a sequence of state transitions.



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Precondition

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Reasoning About State Transitions

We will define dynamic semantics on state transitions. A state is a mapping of variables to their values. Executing a program can be viewed as a sequence of state transitions.



Valid.

Beginning in a state satisfying the precondition, the instructions will result in a state satisfying the postcondition... if they terminate.
We will define dynamic semantics on state transitions. A state is a mapping of variables to their values. Executing a program can be viewed as a sequence of state transitions.



Not Valid.

Beginning in a state satisfying the precondition, the instructions will **not** result in a state satisfying the postcondition.

We will define dynamic semantics on state transitions. A state is a mapping of variables to their values. Executing a program can be viewed as a sequence of state transitions.



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Valid.

Beginning in a state satisfying the precondition, the instructions will result in a state satisfying the postcondition... if they terminate.

In general, we write {P} S {Q} to represent these three objects. This is a "Hoare Triple". It means...

"S, starting in any state satisfying P, will satisfy Q on termination."



Correctness

There been a lot of talk of *termination* in the past few slides.

$\{\mathbf{P}\} \mathbf{S} \{\mathbf{Q}\}$

Total Correctness

- **S**, started in any state satisfying **P**, will terminate in a state satisfying **Q**.
- This requires that we prove termination, which can be difficult or possibly impossible.

Partial Correctness

- **S**, started in any state satisfying **P**, will if it terminates result in a state satisfying **Q**.
- Now we do not have to prove termination. But we can only call it partially correct.

What's that about proving termination being possibly impossible?



Surely, I must be joking.

Imagine the following program:

```
halts(p,i) = function {
    if program p halts on input i
        return TRUE
    else
        return FALSE
    endif
}
```

What's that about proving termination being possibly impossible?



Surely, I must be joking.

Imagine the following programs:

```
halts(p,i) = function {
    if program p halts on input i
        return TRUE
    else
        return FALSE
    endif
}
```

```
trouble(p) = function {
   if not halts(p,p)
      return TRUE
   else
      loop forever
   endif
}
```

This halts and returns true if the passed-in program does not halt when applied to itself, and it loops forever (i.e., does not halt) otherwise. Trouble indeed.

What's that about proving termination being possibly impossible?



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halts(p,i) = function {
    if program p halts on input i
        return TRUE
    else
        return FALSE
    endif
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}
```

What happens when we call trouble (trouble)?

What's that about proving termination being possibly impossible?



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```
halts(p,i) = function {
    if program p halts on input i
        return TRUE
    else
        return FALSE
    endif
    }
    What happens when we call trouble(trouble)
    It evaluates halts(trouble, trouble)
trouble(p) = function {
        if not halts(p,p)
        return TRUE
        else
        loop forever
    endif
    }
}
```

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What happens when we call trouble(trouble) ? It evaluates halts(trouble,trouble) There are two possibilities: (1) it returns TRUE. Not TRUE is FALSE so loop forever, meaning do not halt.

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What happens when we call trouble (trouble) ?

It evaluates halts (trouble, trouble) There are two possibilities:

```
(1) it returns TRUE. Not TRUE is FALSE so loop forever, meaning do not halt.
```

```
(2) it returns FALSE. Not FALSE is TRUE so return TRUE, meaning it halts.
```

What?

What's that about proving termination being possibly impossible?



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What happens when we call trouble (trouble) ?

It evaluates halts(trouble,trouble) There are two possibilities: (1) it returns TRUE. Not TRUE is FALSE so loop forever, meaning do not halt. (2) it returns FALSE. Not FALSE is TRUE so return TRUE, meaning it halts. In other words, if halts(trouble,trouble) halts then it doesn't, and if halts(trouble,trouble) doesn't halt then it does. It halts and loops at the same time.

What's that about proving termination being possibly impossible?



I'm not joking. And don't call me Shirley.

Imagine the following programs:

```
halts(p,i) = function {
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It evaluates halts (trouble, trouble) There are two possibilities: (1) it returns TRUE. Not TRUE is FALSE so loop forever, meaning do not halt. (2) it returns FALSE. Not FALSE is TRUE so return TRUE, meaning it halts. In other words, if halts (trouble, trouble) halts then it doesn't, and if halts (trouble, trouble) doesn't halt then it does. It halts and loops at the same time. halts () is a contradiction. Contradictions cannot exist. Therefore, by our own reasoning, halts () cannot exist and promptly vanishes in a puff of logic.

What's that about proving termination being possibly impossible?



I'm not joking. And don't call me Shirley.

Imagine the following programs:

```
halts(p,i) = function {
    if program p halts on input i
        return TRUE
    else
        return FALSE
    endif
}
```

```
paradox(p) = function {
    if not halts(p,p)
        return TRUE
    else
        loop forever
    endif
}
```

We might rename trouble() to paradox() and then call paradox(paradox) to be even more clear about what's going on.

There's an interesting blog post at https://lacker.io/math/2022/02/24/godels-incompleteness-inbash.html that gives a similar example using bash scripts. It also connects the halting problem to Gödel's incompleteness theorems, which is very cool.

Back to State Transitions

In general, we write {P} S {Q} to represent these three objects. This is a "Hoare Triple". It means...

"S, starting in any state satisfying P, will satisfy Q on termination."



We want to prove statements and eventually programs correct.

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 $\{x=1\} x:=x+1 \{x=2, y=3\}$



We want to prove statements and eventually programs correct.

 ${x=1} x:=x+1 {x=2, y=3}$ Valid?

We want to prove statements and eventually programs correct.

 $\{x=1\} x:=x+1 \{x=2, y=3\}$

Not valid. The precondition says nothing about *y* so we cannot assert anything about *y* in the postcondition.

We want to prove statements and eventually programs correct.

{x=1} x:=x+1 {x=2, y=3} {x=y+1} x:=x+1 {x=y}

Not valid. Valid?

We want to prove statements and eventually programs correct.

{x=1} x:=x+1 {x=2, y=3} {x=y+1} x:=x+1 {x=y}

Not valid.

Not Valid. *x* > *y* in the precondition, then *x* gets incremented, so *x* cannot be equal to *y* in the postcondition.

We want to prove statements and eventually programs correct.

 $\begin{array}{l} \{x=1\} \ x:=x+1 \ \{x=2, \, y=3\} \\ \{x=y+1\} \ x:=x+1 \ \{x=y\} \\ \{x=y+1\} \ y:=y+1 \ \{x=y\} \end{array}$

Not valid. Not Valid. Valid?

We want to prove statements and eventually programs correct.

$\{x=1\}$ x:=x+1 $\{x=2, y=3\}$	
${x=y+1} x:=x+1 {x=y}$	
${x=y+1} y:=y+1 {x=y}$	
${x>y}$ if x>3 then x:=x+1 ${x>y}$	

Not valid. Not Valid. Valid. Valid?

We want to prove statements and eventually programs correct.

$\{v=10\}$ while $v>0$ do $v=v+1$ $\{v=0\}$	
$\{x > v\}$ if $x > 2$ then $x = x + 1$ $\{x > v\}$	Valid
${x=y+1} y:=y+1 {x=y}$	Valid.
${x=y+1} x:=x+1 {x=y}$	Not Valid
$\{x=1\}$ x:=x+1 $\{x=2, y=3\}$	Not valid.

We want to prove statements and eventually programs correct.

 $\{x=1\} \ x:=x+1 \ \{x=2, y=3\} \\ \{x=y+1\} \ x:=x+1 \ \{x=y\} \\ \{x=y+1\} \ y:=y+1 \ \{x=y\} \\ \{x>y\} \ if \ x>3 \ then \ x:=x+1 \ \{x>y\} \\ \{x=10\} \ while \ x>0 \ do \ x:=x+1 \ \{x=2\}$

Partial Correctness

 S, started in any state satisfying P, will — if it terminates — result in a state satisfying Q. Not valid. Not Valid. Valid. Valid.

Valid? One the one hand, the statement cannot be partially correct because if it somehow terminates the post-condition will not be satisfied. But it will never terminate, so in that sense the statement is vacuously true. It's annoying, and borderline ridiculous.

We want to prove statements and eventually programs correct.

$\{x=1\}$ x:=x+1 $\{x=2, y=3\}$
${x=y+1} x:=x+1 {x=y}$
${x=y+1} y:=y+1 {x=y}$
{x>y} if x>3 then x:=x+1 {x>y}
{x=10} while x>0 do x:=x+1 {x=2}

$$\{x=10\} x := x-3 \{x=7\} \\ \{x>10\} x := x-3 \{x>7\} \\ \{x>10\} x := x-3 \{x>2\} \\ \{x>10, y=2\} x := x-3 \{x>7\} \\ \{x=10\} x := x-3 \{x=8\} \\ \{x=10\} x := x-3 \{x>10\} \\ \{x=10\} x := x-3 \{y>2\}$$

Not valid. Not Valid. Valid. Valid. Vacuous and annoying.

Valid. Valid. Valid. Valid. Not valid. Not valid. Not valid.

We need to formalize our reasoning.

What is our reasoning?

```
{x>10} x:=x-3 {x>7}
```

Why is this valid?

What is our reasoning?

Why is this valid?

Make an equation of the instructions and postcondition...



What is our reasoning?

 ${x>10} x = x-3 {x-3}$ postcondition and solve it. x-3 >X > 10

Then compare to the precondition.

Why is this valid?

Make an equation of

the instructions and

If the solution matches the precondition then we know the Hoare triple is valid.

We'll use a tool called substitution to facilitate this.

C [A/B]

"Substitute A for B in expression C."

x[x/x] means "x for x in x" = x x[y/x] means "y for x in x" = y x[x/y] means "x for y in x" = x (because there is no y in x)x[z/y] means "z for y in x" = x (because there is no y in x)

$$3 \cdot x + 1 [y/x] \text{ means "y for x in 3 } \cdot x + 1" = 3 \cdot y + 1$$

Remember the Hoare triple form: $$\{P\} \ S \ \{Q\}$$

Given assignment statement S ...

$\{P\} x := e \{Q\}$

... we use substitution to evaluate the validity of this triple.

Substitute Q[e/x] and compare the result to P.

$${P} x := e {Q}[e/x]$$

Remember the Hoare triple form: $\{P\}\;S\;\{Q\}$

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Substitute Q[e/x] and compare the result to P. "Substitute **e for x in Q** and compare the result to P."

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Remember the Hoare triple form: {P} S {Q}

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... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example: $\{P\}$ S $\{Q\}$ $\{y>0\} x:=y \{x>0\}$

Remember the Hoare triple form: {P} S {Q}

Given assignment statement S ...

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Example:

{P} S {Q} {y>0} x:=y {x>0} {y>0} x:=y {x>0} [y/x] // substitute y for x in {x>0} {y>0} x:=y {y>0}

Remember the Hoare triple form: $$\{P\}\ S\ \{Q\}$$

Given assignment statement S ...

 $\{P\} x := e \{Q\}$

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example:

 $\{P\} S \{Q\} \\ \{y>0\} x:=y \{x>0\} \\ \{y>0\} x:=y \{x>0\} [y/x] // substitute y for x in \{x>0\} \\ \{y>0\} x:=y \{y>0\} // compare \{new Q\} to \{P\} \\ Valid.$
Remember the Hoare triple form: $$\{P\}\ S\ \{Q\}$$

Given assignment statement S ...

```
{P} x := e {Q}
```

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example:

{P} S {Q}
{
$$y>z-2$$
} x:=x+1 { $y>z-2$ }
{ $y>z-2$ } x:=x+1 { $y>z-2$ } [x+1/x]

"Substitute x+1 for x in y>z-2."

Remember the Hoare triple form: $$\{P\}\ S\ \{Q\}$$

Given assignment statement S ...

 $\{P\} x := e \{Q\}$

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example:

 $\{P\} S \{Q\} \\ \{y > z - 2\} x := x + 1 \{y > z - 2\} \\ \{y > z - 2\} x := x + 1 \{y > z - 2\} [x + 1/x] \\ \{y > z - 2\} x := x + 1 \{y > z - 2\} // No change. There is no x in y > z - 2.$

Valid. Not interesting. But valid.

Remember the Hoare triple form: $$\{P\}\ S\ \{Q\}$$

Given assignment statement S ...

$\{P\} x := e \{Q\}$

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example:

?

Remember the Hoare triple form: {P} S {Q}

Given assignment statement S ...

${P} x := e {Q}$

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example: $\{P\}$ S $\{Q\}$ $\{2+, 5\}$ x:=x+1 $\{2+2=5\}$

Vacuously valid because false implies anything.

Remember the Hoare triple form: $$\{P\}\ S\ \{Q\}$$

Given assignment statement S ...

$\{P\} x := e \{Q\}$

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example:

 $\{P\} S \{Q\} \\ \{x+1>0\} x := x+1 \{x>0\} \\ \{x+1>0\} x := x+1 \{x>0\} [x+1/x]$

Remember the Hoare triple form: $$\{P\}\ S\ \{Q\}$$

Given assignment statement S ...

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... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example:

$$\{P\} S \{Q\} \\ \{x+1>0\} x := x+1 \{x>0\} \\ \{x+1>0\} x := x+1 \{x>0\} [x+1/x]$$

"Substitute x+1 for x in x>0."

Remember the Hoare triple form: $$\{P\}\ S\ \{Q\}$$

Given assignment statement S ...

$\{P\} x := e \{Q\}$

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.



Remember the Hoare triple form: $$\{P\}\ S\ \{Q\}$$

Given assignment statement S ...

 $\{P\} x := e \{Q\}$

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.

Example: $\{P\}$ S $\{Q\}$ $\{x=y+1\}$ x:=x+1 $\{x=y\}$ $\{x=y+1\}$ x:=x+1 $\{x=y\}$ [x+1/x] $\{x=y+1\}$ x:=x+1 $\{x+1=y\}$ *Not Valid.*

Remember the Hoare triple form: $$\{P\} \ S \ \{Q\}$$

Given assignment statement S ...

 $\{P\} x := e \{Q\}$

... we use substitution to evaluate the validity of this triple. Substitute Q[e/x] and compare the result to P.



Computing the Precondition

Sometimes we want to compute the precondition.

 $\{?\}$ sum := 2 · x + 1 {sum ≥ 1}

Here are a few valid values for x: Here are a few invalid values for x:

10, 7, 1, 0, 2112, 42 -2, -8675309, -5150

What is the **minimum** valid value for x? We'll call this the Weakest Precondition.

Computing the Weakest Precondition

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Set up an equation using the assignment axiom and then solve it. $\{sum \ge 1\} [2 \cdot x + 1 / sum]$ $2 \cdot x + 1 \ge 1$

$$2 \cdot X \ge 0$$
$$X \ge 0/2$$
$$X \ge 0$$

Computing the Weakest Precondition

Sometimes we want to compute the preconditions.

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 $\{ \mathbf{x} \ge \mathbf{0} \}$ sum := 2 · x + 1 {sum ≥ 1}

Sometimes we want to compute the preconditions.

 $\{ \mathbf{x} \ge \mathbf{0} \}$ sum := 2 · x + 1 $\{$ sum $\ge 1 \}$

Here are a few valid values for x: 10, 7, 1, 0, 2112, 42 Here are a few invalid values for x: -2, -8675309, -5150

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Set up an equation using the assignment axiom and then solve it. $\{sum \ge 1\} [2 \cdot x + 1 / sum]$ $2 \cdot x + 1 \geq 1$ $2 \cdot X \geq 0$

 $X \geq 0/2$

 $X \ge 0$

Actually, it's the Weakest Liberal Precondition (WLP) because we're not making any assertions about termination.

$$\{?\} x := x - 3 \{x \ge 0\}$$

Set up an equation using the assignment axiom ...

 $\{P\} x := e \{Q\}$ Q[e/x] $\{x \ge 0\} [x - 3 / x]$... and solve it. $x - 3 \ge 0$ $x \ge 3$ (Weakest Liberal Precondition (WLP))

Set up an equation using the assignment axiom ...

{P} x := e {Q} Q[e/x]

... and solve it.

{a < 10} [b/2 - 1 / a]

$$b/2 - 1 < 10$$

 $b/2 < 11$
 $b < 22 \leftarrow WLP$
{ b < 22 } a := b/2 - 1 {a < 10}
Valid

Set up an equation using the assignment axiom ...

{P} x := e {Q} Q[e/x]

... and solve it.

$$\{x > 25\} [2 \cdot y - 3 / x]$$

$$2 \cdot y - 3 > 25$$

$$2 \cdot y > 28$$

$$y > 14$$

$$WLP$$

$$\{y > 14\} x := 2 \cdot y - 3 \{x > 25\}$$

$$Valid$$

We recently computed the WLP for this:



 $\{x \ge 3\} x := x - 3 \{x \ge 0\}$

What if the we were given this ?

$$\{x \ge 5\} x := x - 3 \{x \ge 0\}$$

Is it still valid?

Is this valid? $\{x \ge 5\} \ x := x - 3 \ \{x \ge 0\}$ Compute the WLP using the Assignment Axiom... $\{P\} \ x := e \ \{Q\}[e/x]$ $\{x \ge 0\} \ [x - 3 / x]$ $x - 3 \ \ge 0$ $x \ \ge 3$

On the one hand, $x \ge 5 \ne x \ge 3$ so it seems bad.

Is this valid?

$$\{x \ge 5\} \ x := x - 3 \ \{x \ge 0\}$$

Compute the WLP using the Assignment Axiom...
$$\{P\} \ x := e \ \{Q\}[e/x] \\ \{x \ge 0\} \ [x - 3 / x] \\ x - 3 \ \ge 0 \\ x \ge 3$$

On the one hand, $x \ge 5 \neq x \ge 3$ so it seems bad. On the other hand, if $x \ge 5$ then it's also true that $x \ge 3$.



In other words, $x \ge 5$ is a stronger **pre**condition than $x \ge 3$.

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In other words, $x \ge 5$ is a stronger **pre**condition than $x \ge 3$. It's valid. We are allowed to **strengthen** preconditions, to have given preconditions that are stronger than the WLP.

Rule of Consequence

The (inference) Rule of Consequence allows us to have . . .

Stronger Preconditions

$$P \Rightarrow P', \{P\} S \{Q\}$$
$$\{P'\} S \{Q\}$$

$$\{x \ge 5\}$$
 x := x - 3 $\{x \ge 0\}$
WLP is x ≥ 3

The given precondition (P) $x \ge 5$ is a stronger **pre**condition than the computed WLP (P') $x \ge 3$ because $x \ge 5 \Rightarrow x \ge 3$

Weaker Postconditions

What about this?

$$\{x \ge 3\} x := x - 3 \{x \ge -1\}$$

We can use the Assignment Axiom **backwards***

$$[x/e]{P} x := e {Q}$$

to compute the postcondition:

$$[x / x - 3] \{x \ge 3\}$$

[x / x - 3] {x-3 \ge 0}
x \ge 0

Again, $x \ge -1 \neq x \ge 0$... but it's true that if $x \ge 0$ then $x \ge -1$.



In other words, $x \ge -1$ is a weaker **post** condition than $x \ge 0$.

^{*} This is an advanced technique. Do not attempt this while under the influence of mind-altering substances.

Weaker Postconditions

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[x / x - 3] {x-3 \ge 0}
x \ge 0

Again, $x \ge -1 \neq x \ge 0$... but it's true that if $x \ge 0$ then $x \ge -1$.



In other words, $x \ge -1$ is a weaker **post**condition than $x \ge 0$. It's valid. We are allowed to **weaken** postconditions, to have given postconditions that are weaker than the computed one.

Rule of Consequence

The (inference) Rule of Consequence allows us to have . . .



Rule of Consequence

The (inference) Rule of Consequence allows us to have . . .

Stronger Preconditions

$$\frac{P \Rightarrow P', \{P\} S \{Q\}}{\{P'\} S \{Q\}}$$

and

Weaker Postconditions

 $\begin{array}{l} \{P\} \ S \ \{Q\}, \ Q' \Rightarrow Q \\ \\ \{P\} \ S \ \{Q'\} \end{array}$

at

the same time

$$\frac{P \Rightarrow P', \{P\} S \{Q\}, Q' \Rightarrow Q}{\{P'\} S \{Q'\}}$$

Reasoning about Small Programs

Keeping in mind our ability to strengthen preconditions and weaken postconditions, we can use weakest liberal preconditions to prove programs correct.

How?

Reasoning about Small Programs

Keeping in mind our ability to strengthen preconditions and weaken postconditions, we can use weakest liberal preconditions to prove programs correct.

How? By chaining them together.

Consider a program as a **sequence** of statements S_1 , S_2 , S_3 , ... S_n **composed** together.

For each statement S_i in the program, we take its postcondition (Q) and compute its weakest liberal precondition (WLP). If the computed WLP matches the precondition for S_i for then S_i is proved partially correct.

S_i : if WPL(Q) = P then S_i is partially correct.

(Of course, we'll take into account that we can strengthen preconditions and weaken postconditions thanks to the Rule of Consequence.)

Reasoning about Small Programs by Chaining WLPs

Let's consider a two-statement program: $\{P1\} S1 \{Q1\}$ $\{P2\} S2 \{Q2\}$

P1 is the starting state. Q2 is the finishing state.

What is the intermediate state?

Reasoning about Small Programs by Chaining WLPs

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Reasoning about Small Programs by Chaining WLPs

Let's consider a two-statement program: {P1} S1{Q1} {P2} S2 {Q2}

P1 is the starting state. Q2 is the finishing state.

The intermediate state is $P_2 = Q_1$.









We can chain WLPs from the **bottom-up** to reason about the correctness of small programs.

Calculate the WLP of S2's postcondition to get S2's precondition.







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We can chain WLPs from the **bottom-up** to reason about the correctness of small programs.

Calculate the WLP of S2's postcondition to get S2's precondition. Assign that (the intermediate state) to S1's postcondition. Calculate the WLP of S1's postcondition to get S1's precondition.





Sequence

Alternation

Repetition

We can chain WLPs from the **bottom-up** to reason about the correctness of small programs.

Calculate the WLP of S2's postcondition to get S2's precondition. Assign that (the intermediate state) to S1's postcondition. Calculate the WLP of S1's postcondition to get S1's precondition. Compare to what's given to judge correctness of the program.

 $\begin{array}{l} \{P\}\,S1\,\{I\},\{I\}\,S2\,\{Q\}\\ \\ \{P\}\,S1;\,S2\,\{Q\} \end{array}$



Sequence

Alternation

Repetition

Consider this program:

$$\{x > y\} x := x - 1; y := y - 1 \{x > y\}$$

helpfully rewritten as:

$$\{P\} & S1 & \{I\} \\ \{x > y\} & x := x - 1 \{WLP\} \\ \{WLP\} & y := y - 1 \{x > y\} \\ \{I\} & S2 & \{Q\} \end{bmatrix}$$
Consider this program:

$$\{x > y\} x := x - 1; y := y - 1 \{x > y\}$$

helpfully rewritten as:

$$\{P\} & S1 & \{I\} \\ \{x > y\} & x := x - 1 \{WLP\} \\ \{WLP\} & y := y - 1 \{x > y\} \\ \{I\} & S2 & \{Q\} \end{bmatrix}$$

Start at the end and work back to the beginning.

Consider this program:

$$\{x > y\} x := x - 1; y := y - 1 \{x > y\}$$

helpfully rewritten as:

$$\{P\} & S1 & \{I\} \\ \{x > y\} & x := x - 1 \{WLP\} \\ \{x > y - 1\} & y := y - 1 \{x > y\} \\ \{I\} & S2 & \{Q\} \\ WLP = \{x > y\} [y - 1 / y] \\ = \{x > y - 1\}$$

Consider this program:

$$\{x > y\} x := x - 1; y := y - 1 \{x > y\}$$

helpfully rewritten as:

$$\{P\} & S1 & \{I\} \\ \{x > y\} & x := x - 1 \{x > y - 1\} \\ \{x > y - 1\} & y := y - 1 \{x > y\} \\ \{I\} & S2 & \{Q\} \end{cases}$$

Propagate the intermediate state up to the prior statement in sequence.

Consider this program:

$$\{x > y\} x := x - 1; y := y - 1 \{x > y\}$$

helpfully rewritten as:

$$\{P\} S1 \{I\} \\ \{x > y\} x := x - 1 \{x > y - 1\} \\ \{x > y - 1\} y := y - 1 \{x > y\} \\ \{I\} S2 \{Q\}$$

$$\{P\} = \{ x > y - 1\}[x - 1 / x] \\ = \{ x - 1 > y - 1 \}$$

Consider this program:

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$$\{P\} S1 \{I\} \\ \{x - 1 > y - 1\} x := x - 1\{x > y - 1\} \\ \{x > y - 1\} y := y - 1\{x > y\} \\ \{I\} S2 \{Q\} \\ \{P\} = \{x > y - 1\}[x - 1 / x] \\ = \{x - 1 > y - 1\} \end{cases}$$

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$$\{P\} = \{ x > y - 1 \} [x - 1 / x] \\ = \{ x - 1 > y - 1 \}$$

Compare computed WLP to original {P}: $x > y \stackrel{?}{=} x-1 > y-1$

Wait, can we show (x > y) = (x-1 > y-1)? Yes.



Consider this program:

$$\{x > y\} x := x - 1; y := y - 1 \{x > y\}$$

helpfully rewritten as:

$$\{P\} & S1 & \{I\} \\ \{x - 1 > y - 1\} x := x - 1 \{x > y - 1\} \\ \{x > y - 1\} y := y - 1 \{x > y\} \\ \{I\} & S2 & \{Q\}$$

$$\{P\} = \{ x > y - 1\} [x - 1 / x] \\ = \{ x - 1 > y - 1 \}$$

Compare computed WLP to original $\{P\}$: x > y = x-1 > y-1 so we have proved this sequence of statements (program) partially correct.

What is the WLP {P} that will make this program correct?

{P}
$$y = 3 \cdot x + 1; x := y + 3 \{x < 10\}$$

Rewrite as...

{P}
$$y = 3 \cdot x + 1$$
 {I}
{I} $x := y + 3$ { $x < 10$ }

What is the WLP {P} that will make this program correct?

{P}
$$y = 3 \cdot x + 1; x := y + 3 \{x < 10\}$$

Rewrite as...

{P}
$$y = 3 \cdot x + 1$$
 {I}
{I} $x := y + 3$ { $x < 10$ }

$$I = \{ x < 10 \} [y + 3 / x] y + 3 < 10 y < 7$$

What is the WLP {P} that will make this program correct?

{P}
$$y = 3 \cdot x + 1; x := y + 3 \{x < 10\}$$

Rewrite as...

{P}
$$y = 3 \cdot x + 1 \{y < 7\}$$

{ $y < 7$ } $x := y + 3 \{x < 10\}$

What is the WLP {P} that will make this program correct?

{P}
$$y = 3 \cdot x + 1; x := y + 3 \{x < 10\}$$

Rewrite as...

{P}
$$y = 3 \cdot x + 1 \{y < 7\}$$

{ $y < 7$ } $x := y + 3 \{x < 10\}$

$$P = \{ y < 7 \} [3 \cdot x + 1 / y]$$

3 \cdot x + 1 < 7
3 \cdot x < 6
x < 2

What is the WLP {P} that will make this program correct?

{P}
$$y = 3 \cdot x + 1; x := y + 3 \{x < 10\}$$

Rewrite as...

$$\{x < 2\} \ y = 3 \cdot x + 1 \{y < 7\}$$
$$\{y < 7\} x := y + 3 \{x < 10\}$$

x < 2 is the WLP {P} that makes this program partially correct.

$$\{x < 2\} \ y = 3 \cdot x + 1; x := y + 3 \ \{x < 10\}$$

Is this program (partially) correct?

$$\{B > 6\} J = 2 \cdot B + 007; B := J - 17 \{B > 5\}$$

Is this program (partially) correct?

$$\{B > 6\} J = 2 \cdot B + 007; B := J - 17 \{B > 5\}$$

$$\{B > 5\}[J - 17 / B\}$$

J - 17 > 5
J > 22

Is this program (partially) correct?

$$\{B > 6\} J = 2 \cdot B + 007; B := J - 17 \{B > 5\}$$

Is this program (partially) correct?

ł

$$\{B > 6\} J = 2 \cdot B + 007; B := J - 17 \{B > 5\}$$

Rewrite:

$$[B > 6\} J = 2 \cdot B + 007 \{J > 22\}$$

 $\{J > 22\} B := J - 17 \{B > 5\}$
 $\{J > 22\}[2 \cdot B + 007 / J\}$
 $2 \cdot B + 007 > 22$
 $2 \cdot B > 15$
 $B > 7.5$

Is this program (partially) correct?

$$\{B > 6\} J = 2 \cdot B + 007; B := J - 17 \{B > 5\}$$

Rewrite:

$$\{B > 7.5\}$$
 J = 2 • B + 007 $\{J > 22\}$
 $\{J > 22\}$ B := J - 17 $\{B > 5\}$

We calculated the WLP for the entire program to be B > 7.5The given initial precondition is B > 6.

So is this program (partially) correct or not?

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So is this program (partially) correct or not? Is the given precondition stronger than the WLP?

Is this program (partially) correct?

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$$\{B > 7.5\}$$
 J = 2 • B + 007 $\{J > 22\}$
 $\{J > 22\}$ B := J - 17 $\{B > 5\}$

We calculated the WLP for the entire program to be B > 7.5The given initial precondition is B > 6.

So is this program (partially) correct or not? **NO**! Is the given precondition stronger than the WLP? **NO**! $B > 6 \Rightarrow B > 7.5$ and we are not allowed to weaken preconditions. Don't believe me? Try it with B=7.

This works for larger programs as well:

Try it!

We've got sequence. Now we need alternation.

For that, there's the Conditional Rule.

Think of it like this:



Some states in P satisfy c, causing the IF block (S1) to execute, while other states in P do not satisfy c, causing ELSE block (S2) to execute.

Here's another way to visualize it.

Sequence

Alternation

Repetition

We've got sequence. Now we need alternation.

For that, there's the Conditional Rule.

Think of it like

IF c then S1 else S2 endif



Sequence

Alternation

Repetition

We've got sequence. Now we need alternation.

For that, there's the Conditional Rule.

Think of it like

IF c THEN S1 ELSE S2 ENDIF



Sequence

Alternation

Repetition



We've got sequence. Now we need alternation.

For that, there's the Conditional Rule.

SequenceAlternationRepetition

 $\label{eq:product} \begin{array}{l} \{P \ \land \ c\} \ S1 \ \{Q\}, \ \{P \ \land \ \neg c\} \ S2 \ \{Q\} \\ \{P\} \ \text{if c then $S1$ else $S2$ endif $\{Q\}$} \end{array}$

$\label{eq:product} \begin{array}{l} \{P \ \land \ c\} \ S1 \ \{Q\}, \ \{P \ \land \ \neg c\} \ S2 \ \{Q\} \\ \{P\} \ \text{if c then $S1$ else $S2$ endif $\{Q\}$} \end{array}$

 $\{ true \} \text{ IF } y \le 0 \text{ THEN } x := 1 \text{ ELSE } x := y \text{ ENDIF } \{ x > 0 \}$ $\{ P \} \quad \{ c \} \qquad \{ S1 \} \qquad \{ S2 \} \qquad \{ Q \}$

 $\begin{array}{c} \{P \land c\} \ S1 \ \{Q\}, \ \{P \land \neg c\} \ S2 \ \{Q\} \\ \\ \{P\} \ \text{if c Then $S1$ else $S2$ endif $\{Q\}$} \end{array}$

 $\{\text{true}\}$ IF $y \le 0$ THEN x:=1 ELSE x := y ENDIF $\{x > 0\}$ $\{P\}$ $\{c\}$ $\{S1\}$ $\{S2\}$ **{O}** $\{P \land c\} S1 \{Q\}$ $\{\text{true } \land y \le 0\} x := 1 \{x > 0\}$ ${x>0}[1/x]$ $\{1>0\}$ = {true} but we're looking for $\{\text{true } \land y \leq 0\}$ Can we prove

true \land y \leq 0 \Rightarrow true ?

 $\{P \land c\} S1 \{Q\}, \{P \land \neg c\} S2 \{Q\}$ $\{P\}$ IF C THEN S1 ELSE S2 ENDIF $\{Q\}$ $\{\text{true}\}$ IF $y \le 0$ THEN x:=1 ELSE x := y ENDIF $\{x > 0\}$ $\{P\}$ $\{c\}$ $\{S1\}$ $\{S2\}$ **{O}** $\{P \land c\} S1 \{Q\}$ $\{\text{true } \land y \le 0\} x := 1 \{x > 0\}$ ${x>0}[1/x]$ True (y \leq 0) True Λ (y \leq 0) (True Λ (y \leq 0)) \Rightarrow True 1 0 $\{1>0\}$ 0 1 1 1 1 = {true} but we're looking for $\{\text{true } \land y \leq 0\}$ \vdash true \land y \leq 0 \Rightarrow true

```
 \{P \land c\} S1 \{Q\}, \{P \land \neg c\} S2 \{Q\}  \{P\} \text{ If } c \text{ Then } S1 \text{ else } S2 \text{ endif } \{Q\}
```

 $\{\text{true}\}$ IF $y \le 0$ THEN x:=1 ELSE x := y ENDIF $\{x > 0\}$ $\{P\} \quad \{c\} \quad \{S1\} \quad \{S2\}$ **{O}** $\{P \land c\} S1 \{Q\}$ $\{true \land y \le 0\} x := 1 \{x > 0\}$ ${x>0}[1/x]$ {1>0} = {true} \vdash true \land y \leq 0 \Rightarrow true $- \{ true \land y \leq 0 \}$

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 $\{P \land c\} S1 \{Q\}, \{P \land \neg c\} S2 \{Q\}$ $\{P\}$ IF C THEN S1 ELSE S2 ENDIF $\{Q\}$ $\{\text{true}\}$ IF $y \le 0$ THEN x:=1 ELSE x := y ENDIF $\{x > 0\}$ $\{P\}$ $\{c\}$ $\{S1\}$ $\{S2\}$ $\{O\}$ $\{P \land c\} S1 \{Q\}$ $\{P \land \neg c\} S2 \{0\}$ $\{\text{true } \land y \le 0\} x := 1 \{x > 0\}$ $\{ true \land \neg y \le 0 \} x := y \{x > 0 \}$ ${x>0}[1/x]$ ${x>0}[y/x]$ {1>0} {y>0} = {true} $= \{\neg y \leq 0\}$ \vdash true \land y \leq 0 \Rightarrow true \vdash true $\land \neg y \leq 0 \Rightarrow \neg y \leq 0$ $\{\text{true } \land y \leq 0\}$ $\{ true \land \neg y \leq 0 \}$

Both paths are valid; the statement is valid.

Skip Rule

Sometimes you just need a NOP.

 $\{P\}$ skip $\{P\}$

While Loop Rule

With sequence and alternation done, all that's left is repetition.

We need something like

 $\{P\}$ while c do S endwhile $\{Q\}$



Note: If you're wondering about DO, FOR, and REPEAT loops, remember that we can rewrite any of those loops into a WHILE loop.

While Loop Rule

With sequence and alternation done, all that's left is repetition.

- We need something like
- $\{P\}$ while c do S endwhile $\{Q\}$

SequenceAlternationRepetition

The problem is that correctness proofs for arbitrary P and Q are undecidable in this context because there are an unknown number of traces through the code. (Do we enter the loop? How many times do we loop? Does the loop terminate?) We need more information about the construction of the loop. We need its **invariant**.

A loop invariant is an assertion that's . . .

- true immediately before the loop begins,
- true during the loop, and
- true immediately after the loop exits.

In other words, it does not vary, because it's (wait for it) **invariant**.

Loop Invariants

A loop invariant is an assertion that's . . .

- true immediately before the loop begins,
- true during the loop, and
- true immediately after the loop exits.

Example: Selection sort What is the loop invariant ?

```
i := 0
while i < n
    i := i + 1
    find smallest item
    move to array[i]
endwhile
print i `items sorted'</pre>
```
Loop Invariants

A loop invariant is an assertion that's . . .

- true immediately before the loop begins,
- true during the loop, and
- true immediately after the loop exits.



While this won't do:

 $\{P\}$ while c do S endwhile $\{Q\}$

this will:

 $\{I \mathrel{{}_{\wedge}} c\} \mathrel{S} \{I\}$

{I} while c do S endwhile {I $\land \neg c$ }

While this won't do:

 $\{P\}$ while c do S endwhile $\{Q\}$

this will:

 $\{I \mathrel{{}_{\wedge}} c\} \mathrel{S} \{I\}$

{I} while c do S endwhile {I $\land \neg c$ }







While this won't do:

 $\{P\}$ while c do S endwhile $\{Q\}$

this will:





$\{x \le 10\} \text{ WHILE } x < 10 \text{ DO } x := x+1 \text{ ENDWHILE } \{x=10\} \\ \{P\} \qquad \{c\} \qquad \{S\} \qquad \{Q\} \label{eq:point}$

What's a good invariant?

$\{x \le 10\} \text{ WHILE } x < 10 \text{ DO } x := x+1 \text{ ENDWHILE } \{x=10\}$ $\{P\} \qquad \{c\} \qquad \{S\} \qquad \{Q\}$

What's a good invariant? Let's try $\{I\} = x \le 10$

$$\label{eq:IAC} \begin{split} &\{I \land c\} \; S \; \{I\} \\ &\{I\} \; \text{while c do S endwhile $\{I \land \neg c\}$} \end{split}$$

${x \le 10 \land x < 10} x := x+1 {x \le 10}$

 ${x \le 10}$ WHILE x < 10 do x:=x+1 ENDWHILE ${x \le 10 \land \neg x < 10}$

$\{\mathrm{x} \leq \mathrm{10}\}$ while x < 10 do x:=x+1 endwhile $\{\mathrm{x=10}\}$

${x \le 10 \land x < 10} x := x+1 {x \le 10}$

 ${x \le 10}$ WHILE x < 10 DO x := x+1 ENDWHILE ${x \le 10 \land \neg x < 10}$



 $\{\mathrm{x} \leq \mathrm{10}\}$ while x < 10 do x:=x+1 endwhile $\{\mathrm{x=10}\}$

 $\{x \le 10 \land x < 10\} x := x + 1 \{x \le 10\}$

 ${x \le 10}$ WHILE x < 10 do x:=x+1 ENDWHILE ${x \le 10 \land \neg x < 10}$



$\{\mathbf{x} \leq \mathbf{10}\}$ while x < 10 do x:=x+1 endwhile $\{x=10\}$

 ${x \le 10 \land x < 10} x := x+1 {x \le 10}$

{ $x \le 10$ } WHILE x < 10 DO x := x+1 ENDWHILE { $x \le 10 \land \neg x < 10$ }

We need to prove 1. $\mathbf{P} \Rightarrow \mathbf{I}$ 2. $I \land \neg c \Rightarrow Q$ 3. $I \land c \Rightarrow WLP(loop-body)$ $P = x \le 10$ $I = x \le 10$ $x \le 10 \Rightarrow x \le 10$

${x \le 10}$ while x < 10 do x := x+1 endwhile ${x=10}$

${x \le 10 \land x < 10} x := x+1 {x \le 10}$

{ $x \le 10$ } WHILE x < 10 DO x := x+1 ENDWHILE { $x \le 10 \land \neg x < 10$ }

- We need to proveI $= x \le 10$ 1. $P \Rightarrow I$ c= x < 102. $I \land \neg c \Rightarrow Q$ $\neg c$ $= x \ge 10$ 3. $I \land c \Rightarrow$ WLP(loop-body) $I \land \neg c = x \le 10 \land x \ge 10$ q= x = 10Q= x = 10
 - $X = 10 \Rightarrow X = 10$

 ${x \le 10}$ while x < 10 do x:=x+1 endwhile ${x=10}$

 ${x \le 10 \land x < 10} x := x+1 {x \le 10}$

 ${x \le 10}$ WHILE x < 10 do x:=x+1 ENDWHILE ${x \le 10 \land \neg x < 10}$

We need to prove 1. $P \Rightarrow I$ 2. $I \land \neg c \Rightarrow Q$ 3. $I \land c \Rightarrow WLP(loop-body)$

```
loop body:

\{I \land c\} S \{I\}
\{x \le 10 \land x < 10\} x = x+1 \{x \le 10\}
\{x \le 10\}[x+1/x]
\{x+1 \le 10\}
\{x \le 9\} WLP
I \land c \Rightarrow WLP(loop-body)
\{x \le 10 \land x < 10\} \Rightarrow x \le 9 \text{ for integers}
```

 ${x \le 10}$ WHILE x < 10 DO x:=x+1 ENDWHILE ${x=10}$

${x \le 10 \land x < 10} x := x+1 {x \le 10}$

{ $x \le 10$ } WHILE x < 10 DO x := x+1 ENDWHILE { $x \le 10 \land \neg x < 10$ }



Axiomatic Semantics

That does it!



Go forth and prove programs correct.